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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,187	10/12/2001	Joseph V. Simmons	3201.2.1

28049
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SALT LAKE CITY, UT 84101

CONFIRMATION NO. 1417

FORMALITIES LETTER



OC000000007079561

Date Mailed: 11/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/06/2002 TV0111 00000011 09976187 FILED UNDER 37 CFR 1.53(b)
01 FC:205 65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

Adjustment date: 02/06/2002 TV0111
01/29/2002 JADD01 00000108 09976187
02 FC:581 -40.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

01/29/2002 JADD01 00000108 09976187 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:205 65.00 OP

Adjustment date: 02/06/2002 TV0111
01/29/2002 JADD01 00000108 09976187
01 FC:205 -65.00 OP

01-14-2002

U.S. Patent & TMOfc/TM Mail Rcpt Dt #71



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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Arlington, VA. 22202-3913, on January 14, 2002.

R. Simmons
Attorney for Applicant

PATENT

Docket No. 3201.2.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph V. Simmons Et al.)
Serial No.: 09/976,187)
Filed: October 12, 2001) Art Unit
For: HEATING, VENTILATING, AND AIR-CONDITIONING) 2123
DESIGN APPARATUS AND METHOD)

02/06/2002 TV0111 00000011 09976187

02 FC:581

40.00 OP

LETTER OF TRANSMITTAL

Box Missing Parts
Commissioner for Patents
2900 Crystal Drive
Arlington, VA. 22202-3913

Sir:

Please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

(1) a newly executed Declaration, Power of Attorney and Petition;

(2) an Assignment and cover sheet; and

(4) Form PTO-2038 authorizing credit card payment in the amount of One Hundred Five Dollars (\$105.00) to cover the filing fee for this application, as calculated below, together with the required surcharge under 37 C.F.R. § 1.16(e) and assignment recordation fee under 37 C.F.R. § 1.21(h).

Y

The filing fee for this application has been calculated, as follows:

Basic filing fee		\$0.00
Independent claims in excess of three	0 x \$40	\$0.00
Total claims in excess of twenty	0 x \$9	\$0.00
Recordation fee		\$40.00
Surcharge		<u>\$65.00</u>
	TOTAL FEE	\$105.00

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

DATED this 9th day of January, 2002.

Respectfully submitted,



A. John Pate
Attorney for Applicant(s)
Registration No. 36,234

Date: November 9, 2001

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